

E 576489667US

JC06 Rec'd PCT/PTO 02 SEP 2005

Approved for use through 3/31/2007. OMB 0651-0021

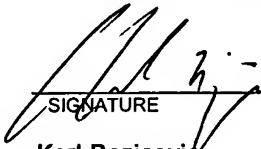
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |  | ATTORNEY'S DOCKET NUMBER.<br><b>HANO-002</b>                         |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/KR2003/001441</b>  |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/521,678</b> |
| INTERNATIONAL FILING DATE<br><b>7/21/2003</b>  |  | PRIORITY DATE CLAIMED<br><b>July 20, 2002</b>                        |
| TITLE OF INVENTION<br><b>P-GLYCOPROTEIN INHIBITOR COMPRISING OCTILONIUM BROMIDE AS AN EFFECTIVE INGREDIENT</b>   |  |  |
| APPLICANT(S) FOR DO/EO/US<br><b>CHUNG, HESSON JEONG, SEO-YOUNG KWON, ICK-CHAN PARK, YEONG-TAEK LEE, IN-HYUN</b>  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |
| <p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office(RO/US)</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: <b>Form 2038; Copy of Notification of Missing Requirements; Request for Corrected Priority Date; postcard</b></p> |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|  |              |   |            |   |          |
|--|--------------|---|------------|---|----------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/521,678</b>   |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/KR2003/001441</b>                     |            | ATTORNEY'S DOCKET NUMBER<br><b>HANO-002</b> |          |
| 21. <input type="checkbox"/> The following fees are submitted:<br>Basic national fee.....\$300.00  |              |   |            | <b>CALCULATIONS</b> <b>PTO USE ONLY</b>     |          |
| 22. <input type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .....\$100.00<br>All other situations .....\$200.00   |              |   |            | \$  |          |
| 23. <input type="checkbox"/> Search fee<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....\$100.00<br>International Search Report prepared and provided to the office .....\$400.00<br>All other situations .....\$500.00  |              |   |            | \$  |          |
| <b>TOTAL OF 21, 22 and 23 =</b>  |              |   |            | \$  |          |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets or paper or fraction thereof.   |              |   |            |   |          |
| Total Sheets   | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE       |   |          |
| - 100 =  | /50 =        |   | X \$250.00 | \$  |          |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |   |            | \$  |          |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA  | RATE       |   |          |
| Total Claims   | 11 - 20 =    | 0   | x \$50.00  | \$  |          |
| Independent Claims   | 1 - 3 =      | 0   | x \$200.00 | \$  |          |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable)   |              |   | + \$360.00 | \$  |          |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |            | \$  |          |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |   |            |   |          |
| <b>SUBTOTAL =</b>  |              |   |            | \$  |          |
| Surcharge for Missing Requirements +   |              |   |            | \$ 130.00                                   |          |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |            | \$ 130.00                                   |          |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |              |   |            | \$  |          |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |            | \$ 130.00                                   |          |
| FREY1 00000111 10521678<br>130.00 OP   |              |   |            | Amount to be refunded:                      | \$       |
|  |              |   |            | Amount to be charged:                       | \$130.00 |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>50-0815</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0815</u> . A duplicate copy of this sheet is enclosed.<br>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |              |   |            |   |          |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.   |              |   |            |   |          |
| SEND ALL CORRESPONDENCE TO:  |              |   |            |   |          |
| <b>Bozicevic, Field &amp; Francis, LLP</b><br>1900 University Avenue, Suite<br>200<br>East Palo Alto<br>California<br>94303  |              |   |            |   |          |
| <div style="text-align: right;"> <br/>         SIGNATURE<br/> <b>Karl Bozicevic</b><br/>         NAME<br/> <b>28,807</b><br/>         REGISTRATION NUMBER       </div>   |              |   |            |   |          |



## UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office  
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www.uspto.gov

|   |                                       |                              |
|---|---------------------------------------|------------------------------|
| U.S. APPLICATION NUMBER NO.<br>10/521,678 | FIRST NAMED APPLICANT<br>Hesson Chung | ATTY. DOCKET NO.<br>HANO-002 |
|---|---------------------------------------|------------------------------|

|   |
|---|
| INTERNATIONAL APPLICATION NO.<br>PCT/KR03/01441 |
|---|

|                               |                             |
|-------------------------------|-----------------------------|
| LA. FILING DATE<br>07/21/2003 | PRIORITY DATE<br>09/26/2002 |
|-------------------------------|-----------------------------|

Bozicevic Field & Francis  
1900 University Avenue  
Suite 200  
East Palo Alto, CA 94303

CONFIRMATION NO. 9260

## 371 FORMALITIES LETTER



\*OC000000016618411\*

Date Mailed: 07/29/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/18/2005
- Copy of the International Search Report filed on 01/18/2005
- Copy of IPE Report filed on 01/18/2005
- Copy of references cited in ISR filed on 01/18/2005
- U.S. Basic National Fees filed on 01/18/2005
- Priority Documents filed on 01/18/2005

**RECEIVED**  
AUG 08 2005  
Bozicevic, Field, & Francis  
KMB

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

08/09/05  
DOCKETED  
MP 09/29/05  
WD 02/28/06  
- communication priority date

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/521,678                  | PCT/KR03/01441                | HANO-002         |

FORM PCT/DO/EO/905 (371 Formalities Notice)